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Straub & Pokotylo 788 Shrewsbury Avenue Tinton Falls, NJ 07724			BRANDENBURG, WILLIAM A	
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/721,010	<b>Applicant(s)</b> KONINGSTEIN ET AL.	
	<b>Examiner</b> WILLIAM A. BRANDENBURG	<b>Art Unit</b> 3622	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 November 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 23-37 and 60-76 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 23-37 and 60-76 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>09/19/2008, 11/21/2008</u> .                                  | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

***Response to Amendment***

1. The following is a Final Office action in response to communications received on 11/03/2008. No claims have been cancelled. Claim 69 has been amended. Claims 75-76 have been added. Therefore, claims 23-37 and 60-76 are pending and addressed below.

***Information Disclosure Statement***

2. The information disclosure statement (IDS) submitted on 09/19/2008 and 11/21/2008 was filed. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

***Specification***

3. The amendment filed on 11/03/2008, has corrected the objections to the specification as identified in the Office Action dated 06/06/2008. Thus, the Examiner hereby withdraws the objections to the specification that were raised in the Office Action dated 06/06/2008.

***Claim Objections***

4. The amendment filed on 11/03/2008, has corrected the claim objections identified in the Office Action dated 06/06/2008. Thus, the Examiner hereby withdraws the claim objections of claim 69 that was raised in the Office Action dated 06/06/2008.

***Claim Rejections - 35 USC § 112***

5. The amendment filed on 11/03/2008, has corrected the 35 U.S.C. 112 deficiencies identified in the Office Action dated 06/06/2008. Thus, the Examiner hereby withdraws the 35 U.S.C. second paragraph rejections of claim 29 that was raised in the Office Action dated 06/06/2008.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

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(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

**6. Claims 23-27, 60-64 and 75-76 are rejected under 35**

**U.S.C. 102(a) and 102(e) as being anticipated by Paine et al.**

**(US 2003/0055816 A1) (hereinafter Paine).**

7. As per claim 23, Paine discloses a computer-implemented method comprising:

a) accepting ad information ([0086], advertiser enters bidded search terms, see also [0093], spidering specified advertiser web site);

b) determining at least one of (1) a candidate concept and (2) a candidate concept indicator using the accepted ad information ([0086], string matching to find additional search terms, see also [0093], filtering search terms from terms used by other advertisers);

c) presenting the determined at least one candidate concept and candidate concept indicator to an advertiser ([0086], generated list of additional search terms to advertiser, see

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also [0093], filtered search terms stored in search listing database); and

d) determining a representation of the concept targeting information for the ad using, at least, advertiser feedback to the presented at least one candidate concept and candidate concept indicator ([0086], advertiser selects search terms from provided list).

8. As per claim 24, Paine discloses the computer-implemented method of claim 23 further comprising:

e) determining at least one of (1) a further candidate concept and (2) a further candidate concept indicator using advertiser feedback ([0112], advertiser selects terms from provided list, reruns filtering option in multiple iterations, see also [0108], advertiser runs multiple iterations in accepting and rejecting terms); and

f) presenting the determined at least one further candidate concept and further candidate concept indicator to the advertiser ([0112], recommended search terms presented to advertiser).

9. As per claim 25, Paine discloses the computer-implemented method of claim 23 wherein

the candidate concept indicator is a previously processed search query to which the ad would have been relevant ([0097], search terms have been used in searches in past month).

10. As per claim 26, Paine discloses a computer-implemented method comprising:

a) accepting targeting criteria information associated with an ad ([0086], string matching to find additional search terms, see also [0093], filtering search terms from terms used by other advertisers);

b) determining at least one targeting concept using at least the accepted targeting criteria information ([0086], string matching to find additional search terms, see also [0093], filtering search terms from terms used by other advertisers);

c) determining a representation of the determined at least one targeting concept ([0040], search results displayed as hyperlinks); and

d) associating the determined representation with the ad ([0040], Information associated with account identifier for advertiser retrieved when hyperlink selected).

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11. As per claim 27. The computer-implemented method of claim 26 wherein

the act of determining at least one targeting concept further uses at least information from other ads using the same or similar targeting criteria information ([0093], search terms recommended based on other advertiser's search terms).

12. As per claim 60, Paine discloses an apparatus comprising:

at least one processor ([0039], processor of account management server);

at least one communications interface ([0112], user interface for advertiser); and

at least one storage device, the storage device storing program instructions which, when executed by the at least one processor ([0109], Code operable on processor device in conjunction with database), performs a method including:

a) accepting ad information ([0086], advertiser enters bidded search terms, see also [0093], spidering specified advertiser web site);

b) determining at least one of (1) a candidate concept and (2) a candidate concept indicator using the accepted ad information ([0086], string matching to find additional search



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terms, see also [0093], filtering search terms from terms used by other advertisers);

c) presenting the determined at least one candidate concept and candidate concept indicator to an advertiser ([0086], generated list of additional search terms to advertiser, see also [0093], filtered search terms stored in search listing database); and

d) determining a representation of the concept targeting information for the ad using, at least, advertiser feedback to the presented at least one candidate concept and candidate concept indicator ([0086], advertiser selects search terms from provided list).

13. As per claim 61, Paine discloses the apparatus of claim 60 wherein the stored program instructions which, when executed by the at least one processor, perform a method further including:

e) determining at least one of (1) a further candidate concept and (2) a further candidate concept indicator using advertiser feedback ([0112], advertiser selects terms from provided list, reruns filtering option in multiple iterations, see also [0108], advertiser runs multiple iterations in accepting and rejecting terms); and

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f) presenting the determined at least one further candidate concept and further candidate concept indicator to the advertiser ([0112], recommended search terms presented to advertiser).

14. As per claim 62, Paine discloses the apparatus of claim 60 wherein

the candidate concept indicator is a previously processed search query to which the ad would have been relevant ([0097], search terms have been used in searches in past month).

15. As per claim 63, Paine discloses an apparatus comprising:

at least one processor ([0039], processor of account management server);

at least one communications interface ([0112], user interface for advertiser); and

at least one storage device, the storage device storing program instructions which, when executed by the at least one processor ([0109], Code operable on processor device in conjunction with database), performs a method including:

a) accepting targeting criteria information associated with an ad ([0086], string matching to find additional search

terms, see also [0093], filtering search terms from terms used by other advertisers);

b) determining at least one targeting concept using at least the accepted targeting criteria information ([0086], string matching to find additional search terms, see also [0093], filtering search terms from terms used by other advertisers);

c) determining a representation of the determined at least one targeting concept ([0040], search results displayed as hyperlinks); and

d) associating the determined representation with the ad ([0040], Information associated with account identifier for advertiser retrieved when hyperlink selected).

16. As per claim 64, Paine discloses the apparatus of claim 63 wherein

the act of determining at least one targeting concept further uses at least information from other ads using the same or similar targeting criteria information ([0093], search terms recommended based on other advertiser's search terms).

17. As per claim 75, Pained discloses the computer-implemented method of claim 23 further comprising:

e) using the determined representation of the concept targeting information for the ad to determine a similarity of the ad to a request for ads ([0085-86], generated list of search terms relevant to advertiser content, advertiser selects terms, see also [0093], storing in a search listing database search listings for the advertiser, the search listings formed with the filtered search terms).

18. As per claim 76, Paine discloses the computer-implemented method of claim 23 wherein the representation of the concept targeting information includes a plurality of concepts, each having a score ([0085-86], generated list of search terms relevant to advertiser content, advertiser selects terms, see also [0089], advertiser's selected search terms have corresponding ranks).

19. **Claims 28-32, 35-37 and 65-74 are rejected under 35 U.S.C. 102(e) as being anticipated by Meisel et al. (US 7,035,812 B2) (hereinafter Meisel).**

20. As per claim 28, Meisel discloses a computer-implemented method for determining concepts of a request, the method comprising:

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a) accepting request information (column 9, lines 55-56, search terms entered by user);

b) determining at least one concept using the request information (column 9, lines 55-56, generates list of hyperlinks corresponding to search terms entered);

c) generating a representation of the determined at least one concept, wherein a score of least one of the at least one concepts in the generated representation is adjusted using performance information of advertisements that have been served pursuant to the concept (column 9, lines 55-60, transmits search results to network user as webpage).

21. As per claim 29, Meisel discloses the computer-implemented method of claim 28 wherein

the at least one concept includes a "no concept" concept (column 9, lines 48-52, keywords entered by user as search query).

22. As per claim 30, Meisel discloses the computer-implemented method of claim 28 wherein

the performance information is advertisement selection information (column 10, lines 51-66, click-through action is recorded via advertiser account).

23. As per claim 31, Meisel discloses the computer-implemented method of claim 28 wherein

the performance information is conversion information (column 11, lines 45-55, user performs action on advertiser website after click-through).

24. As per claim 32, Meisel discloses a computer-implemented method for adjusting a score of a concept relative to a request, the method comprising:

a) tracking performance information of advertisements served pursuant to the concept (column 10, lines 41-67 - column 11, lines 1-67, performance actions recorded); and

b) adjusting the score of the concept relative to the request using the tracked performance information (column 17, lines 4-67, intrinsic CTR value calculated, determines required bid amount and corresponding rank values).

25. As per claim 35, Meisel discloses the computer-implemented method of claim 32 wherein

the act of adjusting the score uses the tracked performance of the concept relative to tracked performance of at least one other concept (column 17, lines 4-19, intrinsic CTR value

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calculated for each search term, groups or categories of search terms, or across all search terms).

26. As per claim 36, Meisel disclose the computer-implemented method of claim 32 wherein

the performance information is advertisement selection information (column 10, lines 51-66, click-through action is recorded via advertiser account).

27. As per claim 37. The computer-implemented method of claim 32 wherein

the performance information is conversion information (column 11, lines 45-55, user performs action on advertiser website after click-through).

28. As per claim 65, Meisel discloses an apparatus for determining concepts of a request, the apparatus comprising:

at least one processor (Fig. 1, "34");

at least one communications interface (Fig. 1, "20"); and

at least one storage device, the storage device storing program instructions which, when executed by the at least one processor (Fig. 1, Account Management Server with

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storage("32") and processing system("34")), performs a method including:

a) accepting request information (column 9, lines 55-56, search terms entered by user);

b) determining at least one concept using the request information (column 9, lines 55-56, generates list of hyperlinks corresponding to search terms entered);

c) generating a representation of the determined at least one concept, wherein a score of least one of the at least one concepts in the generated representation is adjusted using performance information of advertisements that have been served pursuant to the concept (column 9, lines 55-60, transmits search results to network user as webpage).

29. As per claim 66, Meisel discloses the apparatus of claim 65 wherein

the at least one concept includes a "no concept" concept (column 9, lines 48-52, keywords entered by user as search query).

30. As per claim 67, Meisel discloses the apparatus of claim 65 wherein



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the performance information is advertisement selection information (column 10, lines 51-66, click-through action is recorded via advertiser account).

31. As per claim 68, Meisel discloses the apparatus of claim 65 wherein

the performance information is conversion information (column 11, lines 45-55, user performs action on advertiser website after click-through).

32. As per claim 69, Meisel discloses an apparatus for adjusting a score of a concept relative to a request, the apparatus comprising:

at least one processor (Fig. 1, "34");

at least one communications interface (Fig. 1, "20"); and

at least one storage device, the storage device storing program instructions which, when executed by the at least one processor (Fig. 1, Account Management Server with storage("32") and processing system("34")), performs a method including:

a) tracking performance information of advertisements served pursuant to the (column 10, lines 41-67 - column 11, lines 1-67, performance actions recorded); and

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b) adjusting the score of the concept relative to the request using the tracked performance information (column 17, lines 4-67, intrinsic CTR value calculated, determines required bid amount and corresponding rank values).

33. As per claim 70, Meisel discloses the apparatus of claim 69 wherein

the act of adjusting the score includes increasing the score if the tracked performance information is above a threshold performance level (column 17, lines 56-67 - column 18, lines 1-13, intrinsic CTR value and market bid value calculated, listing that is more attractive than average results in higher ranking).

34. As per claim 71, Meisel discloses the apparatus of claim 69 wherein

the act of adjusting the score includes decreasing the score if the tracked performance information is below a threshold performance level (column 17, lines 56-67 - column 18, lines 1-13, intrinsic CTR value and market bid value calculated, listing that is less attractive than average results in lower ranking).

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35. As per claim 72, Meisel discloses the apparatus of claim 69 wherein

the act of adjusting the score uses the tracked performance of the concept relative to tracked performance of at least one other concept (column 17, lines 4-19, intrinsic CTR value calculated for each search term, groups or categories of search terms, or across all search terms).

36. As per claim 73, Meisel discloses the apparatus of claim 69 wherein

the performance information is advertisement selection information (column 10, lines 51-66, click-through action is recorded via advertiser account).

37. As per claim 74, Meisel discloses the method of claim 69 wherein

the performance information is conversion information (column 11, lines 45-55, user performs action on advertiser website after click-through).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**38. Claims 33 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Meisel et al. (US 7,035,812 B2) (hereinafter Meisel).**

39. As per claim 33, Meisel discloses the computer-implemented method of claim 32.

Meisel does not explicitly disclose wherein

the act of adjusting the score includes increasing the score if the tracked performance information is above a threshold performance level.

However, Meisel does teach comparative attractiveness of listings, wherein a listing that is more attractive than average results in a cost-per-click (CPC) value higher than

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average. This higher than average CPC value thus increases the ranking of the listing (column 18, lines 1-13).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Meisel to explicitly disclose increasing the score if tracked performance is above a certain performance threshold. It is well-known in the art that there is a direct relationship between bid values and listing scores, or rankings. The higher the score, the higher the bid value required and vice-versa. Meisel's teaching of comparative, or average, attractiveness of listings represents a performance threshold. When this threshold is exceeded, the CPC value and ranking of the listing are increased in turn. Thus, Meisel's teaching is an obvious variation of the disclosed invention.

40. As per claim 34, Meisel discloses the computer-implemented method of claim 32.

Meisel does not explicitly disclose wherein

the act of adjusting the score includes decreasing the score if the tracked performance information is below a threshold performance level.

However, Meisel does teach comparative attractiveness of listings, wherein a listing that is less attractive than average results in a cost-per-click (CPC) value less than average. This less than average CPC value thus decreases the ranking of the listing (column 18, lines 1-13).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Meisel to explicitly disclose decreasing the score if tracked performance is below a certain performance threshold. It is well-known in the art that there is a direct relationship between bid values and listing scores, or rankings. The lower the score, the lower the bid value required and vice-versa. Meisel's teaching of comparative, or average, attractiveness of listings represents a performance threshold. When this threshold is exceeded, the CPC value and ranking of the listing are decreased in turn. Thus, Meisel's teaching is an obvious variation of the disclosed invention.

### ***Response to Arguments***

41. Applicant's arguments filed 11/03/2008 have been fully considered but they are not persuasive. The Examiner notes

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that it appears the Applicant's arguments with respect to the previous rejection have been formulated based on a difference in claim interpretation. The Examiner will fully address the Applicant's arguments below as well as explain the Examiner's interpretation of the claim language. Newly added claim 75 and claim 76 has been addressed in the rejection above.

42. In the remarks, the Applicant argues with respect to claims 23 and 60 that Paine fails to:

- *determine "concepts" using ad information. The Paine publication does not help resolve ambiguities with respect to ads served using keyword targeting. "Keywords" as used in the Paine publication do not teach the claimed "concepts".*
- *teach determining candidate concepts at all, let alone presenting the determined candidate concepts to an advertiser and determining a representation of the concept targeting information for the ad using the advertiser feedback to the presented candidate concept.*

In response to these arguments, the Examiner respectfully disagrees.

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The Examiner notes that as per the Applicant's own admission, "each of a number of concepts may be associated with one or more keywords" (page 17, paragraph 3, Applicant's arguments). The Examiner would like to emphasize the underlined portion in the excerpt above. This demonstrates that one keyword would satisfy the limitation of a concept. As such, the Examiner's interpretation of claims 23 and 60 has been based on this fact. The applicant's first argument is in reference to element b) which recites "determining at least one of (1) a candidate concept and (2) a candidate concept indicator using the accepted ad information." The Examiner notes that the Applicant's argument is directed toward component (2), however the claim language shows it can be either (1) or (2). Based on the Examiner's interpretation, the citation in the rejection ([0086], string matching to find additional search terms, see also [0093], filtering search terms from terms used by other advertisers) satisfies the claimed limitations. As for the Applicant's second argument, these limitations have been fully addressed in the previous rejection and remain addressed above.

As such, the Examiner maintains the rejection of claims 23 and 60. As a result, the Examiner maintains the rejection of claims 26 and 63 for the same reasons as above. Furthermore,



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as per claims 24-25, 27, 61-62 and 64, which depended from claims 23, 26, 60 and 63, respectively, the Examiner maintains the rejections of claims 24-25, 27, 61-62 and 64 as well.

43. In the remarks, the Applicant argues with respect to claims 28 and 65 that Meisel fails to:

- *teach determining concepts using accepted request information.*
- *teach the use of scores at all, let alone adjusting scores of the determined concepts using performance information of advertisements that have been served pursuant to the concept.*

In response to these arguments, the Examiner respectfully disagrees.

As per the Applicant's first argument, the Examiner once again notes that as per the Applicant's own admission, "each of a number of concepts may be associated with one or more keywords" (page 17, paragraph 3, Applicant's arguments). The Examiner would like to emphasize the underlined portion in the excerpt above. This demonstrates that one keyword would satisfy the limitation of a concept. As such, the Examiner's interpretation of claims 28 and 65 has been appropriately based on this fact. The applicant's first argument is in

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reference to element b) which recites "determining at least one concept using the request information." Specifically, in arguing that Meisel fails to determine concepts, the Applicant gives the example of "e.g. determining the context of the user request" (page 20, paragraph 3, Applicant's arguments). The Examiner would like to emphasize that this specific example given by the Applicant is not present at all in the claim language. As such, the Examiner interpreted claims 28 and 65 according to what was claimed, not what the claim language intended to mean. Based on the Examiner's interpretation, the citation in the rejection (column 9, lines 55-60, generates list of hyperlinks corresponding to search terms entered) satisfies the claimed limitations.

As per the Applicant's second argument, the Examiner would like to note that the rejection is based on the references as a whole, not just the particular portions cited. As such, the Examiner notes that as per Meisel, "the rank value of an advertiser's search listing helps to determine the placement location of the advertiser's entry in the search result generation. There is a direct relationship between rank value and bid value." (column 15, lines 43-48). Furthermore, "the value of a bid element is based on the market value of that amount, which in turn is dependent on the probability of a

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referral occurrence event (e.g. a click-through). One way to determine the probability of a click-through for a particular listing is to use the click through rate over a specified period of time." (column 15, lines 65-67 - column 16, lines 1-21). This click through rate over a specified period of time can be considered past performance and therefore satisfies the claimed limitation and is thus an active component of the search result listing cited in the previous rejection. In addition, the ranking present in the search result listing satisfies the claimed scoring element.

In summation, the Examiner is interpreting the returned search result list to satisfy the "concept" element via the hyperlinks (or any other returned result), the "scoring" element via the disclosed intrinsic ranking and the "tracked performance" element via the disclosed recording of performance actions.

Based on these cited elements of the disclosure, the Examiner has shown that Meisel does indeed teach the claimed limitations and as such, the Examiner hereby maintains the rejection of claims 28 and 65. Furthermore, as per claims 29-31 and 66-68, which depended from claims 28 and 65, respectively, the Examiner maintains the rejections of claims 29-31 and 66-68 as well.

44. In the remarks, the Applicant argues with respect to claims 32 and 69 that Meisel fails to:

- *teach tracking performance information of advertisements served pursuant to a concept, and adjusting the score of the concept relative to the request using the tracked performance information.*
- More specifically, Meisel does not teach *adjusting the score of the concept relative to the request using the tracked performance information. Nothing in the Meisel patent teaches the use of concepts at all, let alone the use and adjustment of scores related to concepts using tracked performance information.*

In response to these arguments, the Examiner respectfully disagrees.

The Examiner once again notes that as per the Applicant's own admission, "each of a number of concepts may be associated with one or more keywords" (page 17, paragraph 3, Applicant's arguments). The Examiner would like to emphasize the underlined portion in the excerpt above. This demonstrates that one keyword would satisfy the limitation of a concept. As such, the Examiner's interpretation of claims 32 and 69 has

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been based on this fact. The applicant's argument is in reference to element a) which recites "tracking performance information" and b) which recites "adjusting the score of the concept relative to the request using the tracked performance information." Based on the Examiner's interpretation, the citation in the rejection for element a) (column 10, lines 41-67 - column 11, lines 1-67, performance actions recorded) and element b) (column 17, lines 4-67, intrinsic CTR value calculated, determines required bid amount and corresponding rank values) satisfies the claimed limitations.

In order to provide further support and explanation, the Examiner would like to note that the rejection is based on the references as a whole, not just the particular portions cited. As such, the Examiner notes that as per Meisel, "the rank value of an advertiser's search listing helps to determine the placement location of the advertiser's entry in the search result generation. There is a direct relationship between rank value and bid value." (column 15, lines 43-48). Furthermore, "the value of a bid element is based on the market value of that amount, which in turn is dependent on the probability of a referral occurrence event (e.g. a click-through). One way to determine the probability of a click-through for a particular listing is to use the click through rate over a specified

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period of time." (column 15, lines 65-67 - column 16, lines 1-21). This click through rate over a specified period of time can be considered past performance and therefore satisfies the claimed limitation and is thus an active component of the search result listing cited in the previous rejection. In addition, the ranking present in the search result listing satisfies the claimed scoring element.

In summation, the Examiner is interpreting the returned search result list to satisfy the "concept" element via the hyperlinks (or any other returned result), the "scoring" element via the disclosed intrinsic ranking and the "tracked performance" element via the disclosed recording of performance actions.

In summation, the Examiner is interpreting the returned search result list to satisfy the "concept" element via the hyperlinks (or any other returned result), the "scoring" element via the disclosed intrinsic ranking and the "tracked performance" element via the disclosed recording of performance actions.

Based on these cited elements of the disclosure, the Examiner has shown that Meisel does indeed teach the claimed limitations and as such, the Examiner hereby maintains the rejection of claims 32 and 69. Furthermore, as per claims 35-

37 and 70-74, which depended from claims 32 and 69, respectively, the Examiner maintains the rejections of claims 35-37 and 70-74 as well.

45. In the remarks, the Applicant argues with respect to claims 33 and 34 that Meisel fails to:

- ***teach or suggest the use of concepts at all, let alone the use and adjustment of scores related to concepts using tracked performance information.***
- More specifically, Meisel's teachings of market value of the search listing calculated does not teach, nor does it make obvious ***adjusting the score of the concept*** relative to the request using the tracked performance information.

In response to these arguments, the Examiner respectfully disagrees.

The Examiner once again notes that as per the Applicant's own admission, "each of a number of concepts may be associated with one or more keywords" (page 17, paragraph 3, Applicant's arguments). The Examiner would like to emphasize the underlined portion in the excerpt above. This demonstrates that one keyword would satisfy the limitation of a concept. As

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such, the Examiner's interpretation of claims 32 and 69 has been based on this fact.

In order to provide further support and explanation, the Examiner would like to note that the rejection is based on the references as a whole, not just the particular portions cited. As such, the Examiner notes that as per Meisel, "the rank value of an advertiser's search listing helps to determine the placement location of the advertiser's entry in the search result generation. There is a direct relationship between rank value and bid value." (column 15, lines 43-48). Furthermore, "the value of a bid element is based on the market value of that amount, which in turn is dependent on the probability of a referral occurrence event (e.g. a click-through). One way to determine the probability of a click-through for a particular listing is to use the click through rate over a specified period of time." (column 15, lines 65-67 - column 16, lines 1-21). This click through rate over a specified period of time can be considered past performance and therefore satisfies the claimed limitation and is thus an active component of the search result listing cited in the previous rejection. In addition, the ranking present in the search result listing satisfies the claimed scoring element.



In summation, the Examiner is interpreting the returned search result list to satisfy the "concept" element via the hyperlinks (or any other returned result), the "scoring" element via the disclosed intrinsic ranking and the "tracked performance" element via the disclosed recording of performance actions.

Based on these cited elements of the disclosure, the Examiner has shown that Meisel does indeed teach or suggest the claimed limitations and as such, the Examiner hereby maintains the rejection of claims 33 and 34.

### ***Conclusion***

46. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In

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no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

47. Examiner has pointed out particular references contained in the prior arts of record in the body of this action for the convenience of the applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant, in preparing the response, to consider fully the entire references as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior arts or disclosed by the examiner.

48. Any inquiry concerning this communication or earlier communications from the examiner should be directed to WILLIAM A. BRANDENBURG whose telephone number is (571)270-5488. The examiner can normally be reached on Monday-Thursday 6:30 am - 5:00 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eric Stamber can be

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reached on (571)272-6724. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

W.B.  
/W. A. B./  
Examiner, Art Unit 3622

/John Van Bramer/  
Examiner, Art Unit 3622

/Eric W. Stamber/  
Supervisory Patent Examiner, Art Unit 3622